

## SUBSCRIPTION FORM

 **Initial subscription**
 **Subsequent subscription**
**Depot No.**

(if already known)

### 1. SUBSCRIPTION AND SUBSCRIPTION AMOUNT

**Subscription to the fund** (please tick as appropriate):

**Subscription amount:**

<input type="checkbox"/> SafePort Precious Metals Fund *	ISIN: LI0103770074	<input type="checkbox"/> EUR <input type="checkbox"/> CHF	<input style="width: 95%; height: 20px;" type="text"/>
<input type="checkbox"/> SafePort Physical Gold 95+ Fund *	ISIN: LI0103770082	<input type="checkbox"/> EUR <input type="checkbox"/> CHF	<input style="width: 95%; height: 20px;" type="text"/>
<input type="checkbox"/> SafePort Physical Silver 95+ Fund *	ISIN: LI0103770090	<input type="checkbox"/> EUR <input type="checkbox"/> CHF	<input style="width: 95%; height: 20px;" type="text"/>
<input type="checkbox"/> SafePort Gold & Silver Mining Fund **	ISIN: LI0020325713	<input type="checkbox"/> EUR <input type="checkbox"/> CHF	<input style="width: 95%; height: 20px;" type="text"/>
<input type="checkbox"/> SafePort Silver Mining Fund **	ISIN: LI0026391222	<input type="checkbox"/> EUR <input type="checkbox"/> CHF	<input style="width: 95%; height: 20px;" type="text"/>
<input type="checkbox"/> SafePort PM Value Fund *	ISIN: LI0103770116	<input type="checkbox"/> EUR <input type="checkbox"/> CHF	<input style="width: 95%; height: 20px;" type="text"/>
<input type="checkbox"/> SafePort Focus Fund *	ISIN: LI0133662929	<input type="checkbox"/> EUR <input type="checkbox"/> CHF	<input style="width: 95%; height: 20px;" type="text"/>

\* AIF (Alternative Investment Fund) under Liechtenstein law in the legal form of a collective trusteeship for distribution in Liechtenstein.

\*\* UCITS (Undertaking for Collective Investment in Transferable Securities) under Liechtenstein law in the legal form of a collective trusteeship, for distribution in Liechtenstein, Germany and Austria.

- Any bank exchange fees will be borne by the subscriber.

- Net subscription amount including issuing commission and paying agent fee. In the event of any difference between the subscription amount and the transfer amount, the purchase of units will be calculated based on the transfer amount.

- The paying agent fee is 0.2% of the subscription amount, but at least EUR/CHF/USD 60.00 per fund subscribed to, up to a maximum of EUR/CHF/USD 1'000.00.

- The fund prices (NAV) and the acceptance deadline for unit transactions are determined in accordance with the applicable constitutive documents and prospectus or investor information.

 The **issuing commission** for this subscription is:

 %

### 2. INFORMATION ABOUT THE INVESTOR (for joint accounts, please provide details of both persons one after the other)

Family name / company name		First name	
Street, no. Postcode / Town or city			
Country		Nationality	
Date of birth (DD/MM/YYYY) / Date of incorporation	Place of birth	Country of birth	
Email (block capitals)			
Telephone number	Occupation (exact occupational title – If retired, please also state previous occupation)		
ID no. / register number	Issuing authority	Valid until (DD/MM/YYYY)	
Tax number		Tax domicile	

Signature of the subscriber(s): \_\_\_\_\_

### 3. EXCHANGE OF INFORMATION

- **The subscriber declares that the units are not being subscribed to on behalf of a US person or by a person who is liable for US tax.** Tax liability exists in particular if the person concerned is a US citizen, the person concerned has a residence in the USA or a permanent residence permit for the USA (e.g. Green Card) and/or has had a long stay there within the last 36 months which has established a tax liability.
- The subscriber confirms that he/she has clarified in advance any possible national restrictions on the acquisition and possession of units in the fund in which they wish to subscribe.
- The subscriber declares that all assets placed in the fund are or will be properly taxed in his/her personal tax domicile. In addition, the subscriber confirms that he/she has fully complied with his/her tax and reporting obligations to the best of his/her knowledge and belief and will comply with these in the future.
- The subscriber hereby expressly authorises CAIAC Fund Management AG, Industriestrasse 2, FL - 9487 Bendern, in its capacity as paying agent and management company, to pass on to the Liechtenstein tax administration or its authorised representatives any information or data required in this regard, as required by law and in accordance with the provisions of the law, without further consultation (this applies in particular to the Automatic Exchange of Information (AEOI), the Foreign Account Tax Compliance Act (FATCA) and all other tax agreements to which the Principality of Liechtenstein is a party or becomes a party in the future). This authorisation exempts the management company and the depository from compliance with banking secrecy and professional secrecy, insofar as this is required under current and future laws and regulations. This authorisation does not settle the respective tax liability, which remains the responsibility of the subscriber/securities account holder.

### 4. INFORMATION ABOUT THE BENEFICIAL OWNER

The subscriber declares that

- he/she is the beneficial owner of the assets to be placed in the fund and that the disposal of the assets to be placed is not subject to any restrictions.

### 5. CORRESPONDENCE

The management company is requested to send the transaction receipt and all subsequent correspondence as follows (except quarterly statements):

- by **post** to the address stated on page 1
- by **email** to the email address stated on page 1

The quarterly statements will be sent to you at the e-mail address indicated on page 1 (the explanations under item 12 apply in this regard). If you do not agree to this, please contact the Management Company before signing the subscription form.

### 6. ADMINISTRATIVE PROCESSING

The subscriber must submit the subscription order, as well as a **certified** copy of an identity document showing their address or with separate proof of address (e.g. identity card or passport) to the central distribution agent:

**Perfect Management Services AG, Landstrasse 340, FL-9495 Triesen**

The distribution agent checks the subscription documents and then forwards them to the management company.

### 7. ESTABLISHMENT OF IDENTITY AND AUTHENTICATION OF DOCUMENTARY PROOF

Persons/bodies that are subject to due diligence obligations are required to establish the identity of the contractual partner as part of their due diligence obligations. This can be verified by inspecting the documentary proof of identity (original or authenticated copy) provided by the contractual partner. Certification of the authenticity of a copy of documentary proof of identity (e.g. identity card or passport) can be carried out, for example, by public bodies that normally issue such authentications, notaries, banks and insurance companies, Perfect Management Services AG, which is the distribution agent in Liechtenstein, etc.

The certification must have the following wording: "***The original document has been seen and the photocopy matches the original document submitted.***" (***Place, date, name in block capitals and signature of the person authorised to authenticate.***)

Signature of the subscriber(s): \_\_\_\_\_

**8. FINANCIAL BACKGROUND**
**ANNUAL INCOME**

- up to CHF/EUR 150,000     
  up to CHF/EUR 300,000     
  over CHF/EUR 500,000

**ORIGIN OF PLACED ASSETS – SUBSCRIPTION AMOUNT**

- Salary/wages       Business activity       Company sale       Savings  
 Inheritance/gift       Investment gains       Shareholdings       Other

If "Other" is marked, detailed supplementary information must be provided:  
(further documents may be requested if necessary)

**TOTAL ASSETS**

- up to CHF/EUR 300,000     
  up to CHF/EUR 1 million     
  over CHF/EUR 1 million

**ORIGIN OF PLACED ASSETS - TOTAL ASSETS**

- Salary/wages       Business activity       Company sale       Savings  
 Inheritance/gift       Investment gains       Shareholdings       Other

If "Other" is marked, detailed supplementary information must be provided:  
(further documents may be requested if necessary)

**9. POLITICALLY EXPOSED PERSON**

- I do **not** perform any activities as a politically exposed person or in a public capacity.  
 I perform activities as a politically exposed person or in a public capacity.  
 I have performed such a role in the past.  
 I am close to someone who performs such a role (e.g. family member).

If you gave a positive response to one of the questions above, please state:

Type of activity/role:

Country where activity/role is/was performed:

If a close relationship exists, what is the relationship?

**10. DISTRIBUTION AGENT OF THE SAFEPORT FUNDS IN LIECHTENSTEIN**

The subscriber is aware that, as the distribution agent of the SafePort funds in Liechtenstein, Perfect Management Services AG can provide free information about the above-mentioned funds verbally or in writing on request. This information is limited to the presentation of the investment goals, opportunities, risks as well as fee issues and organisational aspects of the SafePort funds. The subscriber is free to avail themselves of non-binding general preliminary guidance from third parties with regard to the subscription to SafePort funds. Every potential investor is recommended to seek advice from an independent expert about the individual suitability of their investment. Perfect Management Services AG does not offer any investment advice. However, subscribers to fund units are entitled at any time, even after they have subscribed, to request updated information about the SafePort funds from Perfect Management Services AG.

Signature of the subscriber(s): \_\_\_\_\_

## 11. PAYMENT ORDER

### Bank transfer details

Recipient:	SafePort + name of the relevant fund		
IBAN	Please use the IBAN of the relevant fund: - SafePort Precious Metals Fund IBAN LI14 0880 2001 0047 8210 3 - SafePort Physical Gold 95+ Fund IBAN LI09 0880 2001 0047 8510 3 - SafePort Physical Silver 95+ Fund IBAN LI77 0880 2001 0047 8310 3 - SafePort Gold & Silver Mining Fund IBAN LI91 0880 2001 0047 8110 5 - SafePort Silver Mining Fund IBAN LI28 0880 2001 0047 8010 5 - SafePort PM Value Fund IBAN LI61 0880 2001 0047 8610 7 - SafePort Focus Fund IBAN LI65 0880 2001 0047 8710 2		
BIC	NBANLI22XXX	Financial institution (bank)	Neue Bank AG, Marktgass 20, FL-9490 Vaduz

### Your bank details

Recipient		Place	
IBAN			
BIC		Financial institution (bank)	

**IMPORTANT: The subscriber and the depositor (account holder) must be identical. If the payment is made in several instalments, please indicate this accordingly (e.g. 1st instalment).**

Transfers, or funds from third parties, as well as cash deposits will not be accepted. Please indicate a current account, not a savings account or credit card account. It is mandatory to provide complete bank details. If funds cannot be invested, the amount received minus a processing fee will be returned to the depositor to the above-mentioned account.

## 12. DECLARATIONS

- 1) The subscriber hereby declares
  - a) that he/she has been informed that the current constitutive documents and the prospectus or investor information containing the risk information as well as the contractual provisions, the current annual report and semi-annual report and other information can be viewed on and downloaded from the websites [www.lafv.li](http://www.lafv.li) (Liechtenstein Investment Fund Association) and [www.caiacl.li](http://www.caiacl.li) and can also be obtained free of charge in German from the distribution agent, the AIFM (in the case of an AIF) or the management company (in the case of a UCITS) and the depository;
  - b) that he/she is aware of and agrees with the content of the applicable trust agreement and the prospectus or investor information and all annexes;
  - c) that he/she has taken note of the conditions for the issue and redemption of units described in the constitutive documents and the prospectus or investor information;
  - d) that he/she is aware of the restrictions on the sale of units set out in the constitutive documents and the prospectus or investor information, in particular with regard to the sale in the United States or to nationals or persons with a tax liability and/or residence in the United States, and confirms that no such conditions exist;
  - e) that he/she accepts the risks described in the constitutive documents and the prospectus or investor information. **In this regard, the subscriber acknowledges that the value of the units in the respective investment fund, as with any investment in securities and similar assets, may rise or fall relative to the issue price at any time and there is no guarantee that he/she will get back his/her invested capital;**
  - f) if a new securities account is to be opened beforehand, he/she agrees that he/she will not acquire the units until the securities account has been legally set up in accordance with the statutory provisions on due diligence;
  - g) that the securities account can be automatically closed after all the units have been sold and that no further closing instructions are required from him/her.
- 2) The subscriber consents to the handling, processing, storage and exchange by the AIFM (in the case of an AIF) or the management company (in the case of a UCITS), their agents and cooperation partners and by the depository, of his/her personal data and all documents provided by him/her to the distribution agent, the AIFM (in the case of an AIF) or the management company (in the case of a UCITS), their agents and cooperation partners and the depository, as well as other information and data obtained by them from him/her and held by them with regard to the customer relationship, in particular for the purposes of KYC "know your customer" and due diligence. The distribution agent, the AIFM (in the case of an AIF) or the management company (in the case of a UCITS), their agents and cooperation partners and the depository are explicitly exempted by the subscriber from any confidentiality obligations in this regard (including banking secrecy, data confidentiality and data protection regulations).  
 The subscriber agrees that his/her data can be used for direct mail and has the right to object to such mail at any time free of charge. After the end of the business relationship, he/she has the right to request the deletion of personal data in accordance with the Data Protection Act or GDPR, unless other legal provisions such as retention obligations, etc. prevent this. For the provisions and declarations relating to data protection, please see the website of the distribution agent at [www.safeport-funds.com](http://www.safeport-funds.com) and the website of the AIFM (in the case of an AIF) or the management company (in the case of a UCITS) at [www.caiacl.li](http://www.caiacl.li) and the website of the depository at [www.neuebankag.li](http://www.neuebankag.li).

Signature of the subscriber(s): \_\_\_\_\_

- 3) The subscriber hereby confirms that the information provided on this subscription form is complete and correct and he/she hereby agrees to disclose any changes to his/her personal data immediately. The subscriber hereby confirms his/her agreement to indemnify the distribution agent and any other company of the distribution agent and any member of the board of directors, executives, employees and representatives, as well as the AIFM (in the case of an AIF) or the management company (in the case of a UCITS) and the depository against all damage, loss or other costs that may be incurred as a result of incorrect, misleading or missing information.
- 4) The subscriber agrees that the distribution agent and the AIFM (in the case of an AIF) or the management company (in the case of a UCITS) will not be held liable for any action taken in connection with the receipt of instructions by fax or email or for any resulting loss.
- 5) The subscriber agrees that, if the account is a joint securities account rather than an individual securities account, joint representation/disposal is required (joint signatory account).
- 6) The subscriber shall notify the AIFM (in the case of an AIF) or the management company (in the case of a UCITS) immediately if he/she does not receive the annual statements within a reasonable period of time, and of any errors, deviations or irregularities contained therein.
- 7) In order to ensure the proper processing of business transactions, the subscriber undertakes to notify the management company immediately in writing of any changes to his/her name or address as well as the termination of, or change to, any power of representation (in particular a power of attorney) verified with the agent. This notification obligation also applies if the power of representation is entered in a public register (e.g. in the commercial register). In the event of a name change, an official confirmation (copy of ID, marriage certificate, etc.) must also be submitted.
- 8) The management company reserves the right to reject subscriptions without giving any reasons. The subscriber agrees to indemnify and hold the management company harmless in the event of rejection.
- 9) The management company can terminate a securities account with immediate effect at any time without prior notice and withdraw the fund units if it becomes aware that the subscriber is not entitled to hold the fund units under prospectus law or for legal reasons. Payment will be made on the next valuation day following notification by the subscriber of a bank account in the name of the subscriber.
- 10) The subscriber confirms that this application form and every transaction relating to units in the relevant fund are subject to the laws of the Principality of Liechtenstein and are to be interpreted accordingly. The respective fund is subject to Liechtenstein law.
- 11) The subscriber agrees that the exclusive place of jurisdiction is Vaduz, Principality of Liechtenstein.
- 12) By signing the subscription form, the subscriber who wishes to receive the statement of account and other correspondence by e-mail confirms that he/she is aware of the risks of using the "unencrypted communication channels" (hereinafter "channels") below, such as unencrypted e-mail communication. Nevertheless, he/she wishes to exchange information with CAIAC via the unencrypted channels selected below. The subscriber agrees to the following provisions in the event of the use of unencrypted channels in the context of his entire business relationship (i.e. for all existing and future relationships and services):
  - a) CAIAC may deliver information (in particular regarding the subscriber's business relationship) via the channels requested above and receive and process the information transmitted.
  - b) The subscriber agrees with CAIAC that both are authorised by the agreement to conduct all communication by e-mail and to exchange information on deposits. Exceptions to this are subscription and redemption orders, which must be transmitted in the original as standard, and documents required in the original for identification and due diligence purposes. This Agreement shall prevail over any other terms or agreements agreed between the parties with respect to communications with the Subscriber. CAIAC reserves the right not to execute orders placed by e-mail (including those for the mere transmission of information) and to request further information and evidence. CAIAC will do this in particular if there are doubts about the legitimacy of the principal. Such action by CAIAC does not require any reasons to be given. In this context, the securities account holder expressly confirms that he/she will indemnify and hold CAIAC harmless from any consequences that may arise from such failure.
  - c) By providing contact details of persons authorised on his business relationship, the subscriber confirms that all provisions of this agreement also apply to communication via channels with these authorised persons. The Subscriber undertakes to impose the provisions of this Agreement also on authorised persons.
  - d) Information sent via unencrypted channels will only be processed during CAIAC's business hours. Information transmitted by CAIAC to the subscriber via these channels will be deemed to have been delivered.
  - e) CAIAC has the right at any time and without stating reasons to refuse to accept or process information (e.g. subscription forms) via unencrypted channels and to insist that the subscriber legitimises himself/herself in some other form (e.g. by signature). CAIAC's liability for damages incurred by the subscriber as a result of the non-fulfilment of contractual obligations, as well as for indirect and consequential damages, such as a loss of profit or claims by third parties, is excluded. CAIAC is not liable for the consequences of malfunctions and interruptions when exercising the usual care.
  - f) CAIAC will check the legitimacy of the subscriber or the persons authorised by the subscriber in the future on the basis of the e-mail address given below. For this reason, CAIAC recommends that the subscriber or the authorised persons provide an e-mail address that can only be used by the subscriber or the authorised persons. The subscriber acknowledges that any e-mail received by CAIAC will be deemed to have been written by the person associated with the e-mail address shown, irrespective of whether the e-mail was actually written or sent by that person or was received by CAIAC with the correct content.
  - g) The subscriber acknowledges that the information is transported via an open network accessible to anyone (Internet) and that it may therefore be possible for third parties to draw conclusions about an existing relationship.
  - h) The subscriber undertakes to notify CAIAC immediately of any changes to the e-mail address provided.
  - i) CAIAC does not guarantee the accuracy and completeness of the data provided. The subscriber accepts unlimited liability for all damage caused by the use (such as transmission errors, delivery to an incorrect connection, etc.) or misuse of this method of transmission.

**Signature of the subscriber(s):** \_\_\_\_\_

### 13. IMPORTANT NOTES

As with any investment in securities and similar assets, investing in investment funds involves a risk (e.g. price and currency losses). As a result, for example, the prices of the fund units and the amount of income fluctuate and cannot be guaranteed. The costs of the fund investment affect the actual investment result. The acquisition of shares is governed by the statutory sales documents. The current constitutive documents and the prospectus or investor information and the key investor information documents (KIID) as well as the annual and semi-annual report can be obtained free of charge in German from the management company and the depository and also downloaded online from [www.caiac.li](http://www.caiac.li) and [www.lafv.li](http://www.lafv.li) (Liechtenstein Investment Fund Association).

### 14. DOCUMENTS TO BE SUBMITTED

- Subscription form, including the place, date and original signature (must be provided in full by every subscriber)
- Certification of authenticity of a copy of documentary proof of identity (valid passport copy or copy of identity card, only required for the initial subscription after which reference can be made to the initial subscription).
- Proof of address (if the address is not given in the ID/passport, copy of the residence registration, or an electricity, heating or water bill or similar)
- Proof of origin of the funds
- If necessary, the granting of a power of attorney to third parties (supplementary form)

This list applies to subscriptions by natural persons. An overview of the documents to be submitted for subscriptions by legal entities will be provided on request.

### 15. SIGNATURE

Place:

Name:

Date:

Signature: