

# SUBSCRIPTION FORM

## SafePort LOICK Bio-Products & Bio-Energy Fund



Investment fund under Saint Vincent and the Grenadines law

☐ Initial subscription

☐ Subsequent subscription

Depot No.

(if already known)

### 1. SUBSCRIPTION AND SUBSCRIPTION AMOUNT

**Subscription to the fund** (please put a cross in the relevant box):

**Subscription amount:**

☐ SafePort LOICK Bio-Products & Bio-Energy Fund **Class EUR**  
ISIN: VCP8244T2077

☐ EUR  
☐ CHF

☐ SafePort LOICK Bio-Products & Bio-Energy Fund **Class CHF**  
ISIN: VCP8244T1400

☐ EUR  
☐ CHF

\* The minimum investment is EUR 5'000.00 for the EUR class or CHF 5,000.00 for the CHF class.

\* Any bank exchange fees will be borne by the subscriber.

\* Net subscription amount including issuing commission and paying agent fee. In the event of a difference between the subscription amount and the transfer amount, the purchase of units will be calculated based on the transfer amount.

\* The paying agent fee is 0.2% of the subscription amount, but at least EUR / CHF 60.00 per fund subscribed to, or a maximum of EUR / CHF 1'000.00 (depending on the unit class).

\* The determination of the fund prices (NAV) and the acceptance deadline for unit transactions are in accordance with the applicable offering memorandum.

The **issuing commission** for this subscription is:

 %

### 2. INFORMATION ABOUT THE INVESTOR

Last name / company name

First name

Street, no. Postcode / Town or city

Country

Nationality

Date of birth (DD/MM/YYYY) / Date of incorporation

Place of birth

Country of birth

Email (block capitals)

Telephone number

Occupation (exact occupational title – If retired, please also state previous occupation)

ID no. / register number

Issuing authority

Valid until (DD/MM/YYYY)

Tax number

Tax domicile

Signature of the subscriber(s): \_\_\_\_\_

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### 3. EXCHANGE OF INFORMATION

- **The subscriber declares that the units are not being subscribed to on behalf of a US person or by a person who is liable for US tax.** Tax liability exists in particular if the person concerned is a US citizen, the person concerned has a residence in the USA or a permanent residence permit for the USA (e.g. Green Card) and/or has had a long stay there within the last 36 months which has established a tax liability.
- The subscriber confirms that he/she has clarified in advance any other possible national restrictions on the acquisition and possession of units in the fund to which they wish to subscribe.
- The subscriber declares that all assets placed in the fund are or will be properly taxed in his/her personal tax domicile. In addition, the subscriber confirms that he/she has fully complied with his/her tax and reporting obligations to the best of his/her knowledge and belief and will comply with these in the future.
- The subscriber hereby expressly authorises the administrator and transfer agent CAIAC International Ltd. (and any third parties commissioned by it) to pass on to the responsible tax administration or its authorised representatives any information or data required in this regard, as required by law and in accordance with the provisions of the law, without further consultation (this applies in particular to the Automatic Exchange of Information (AEOI), the Foreign Account Tax Compliance Act (FATCA) and all other tax agreements to which Saint Vincent and the Grenadines is a party or becomes a party in the future). This authorisation exempts the administrator and transfer agent and their agent(s) and the custodian bank from compliance with banking secrecy and professional secrecy, insofar as this is required under current and future laws and regulations. This authorisation does not settle the respective tax liability, which remains the responsibility of the subscriber/securities account holder.

### 4. INFORMATION ABOUT THE BENEFICIAL OWNER

The subscriber declares that

- ☐ he/she is the beneficial owner of the assets to be placed in the fund and that the disposal of the assets to be placed is not subject to any restrictions.

### 5. CORRESPONDENCE

The management company is requested to send the purchase confirmation and all subsequent correspondence as follows (excluding quarterly statements):

- ☐ by **post** to the address stated on page 1
- ☐ by **email** to the email address stated on page 1

### 6. ADMINISTRATIVE PROCESSING

The subscriber must submit the subscription order, as well as a **certified** copy of an identity document with the address or with separate proof of address (e.g. identity card or passport) to the marketing and distribution agent:

**Perfect Management Services AG, Landstrasse 340, FL-9495 Triesen**

This checks the subscription documents and then forwards them to the administrator and transfer agent.

### 7. ESTABLISHMENT OF IDENTITY AND AUTHENTICATION OF DOCUMENTARY PROOF

Persons/bodies that are subject to due diligence obligations are required to establish the identity of the contractual partner as part of their due diligence obligations. This can be verified by looking at documentary proof of identity (original or authenticated copy) provided by the contractual partner. Certification of authenticity of a copy of documentary proof of identity (e.g. identity card or passport) can be carried out, for example, by public bodies that normally issue such authentications, notaries, banks and insurance companies, Perfect Management Services AG, etc.

The certification must have the following wording: "**The original document has been seen and the photocopy matches the original document submitted.**" (Place, date, company name and name in block capitals and signature of the person authorised to authenticate).

Signature of the subscriber(s): \_\_\_\_\_

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### 8. FINANCIAL BACKGROUND

#### ANNUAL INCOME

- ☐ up to CHF/EUR 150,000      ☐ up to CHF/EUR 300,000      ☐ over CHF/EUR 500,000

#### ORIGIN OF PLACED ASSETS – SUBSCRIPTION AMOUNT

- ☐ Salary/wages      ☐ Business activity      ☐ Company sale      ☐ Savings  
☐ Inheritance/gift      ☐ Investment gains      ☐ Shareholdings      ☐ Other

If "Other" is marked, detailed supplementary information must be provided:  
(further documents may be requested if necessary)

#### TOTAL ASSETS

- ☐ up to CHF/EUR 300,000      ☐ up to CHF/EUR 1 million      ☐ over CHF/EUR 1 million

#### ORIGIN OF PLACED ASSETS - TOTAL ASSETS

- ☐ Salary/wages      ☐ Business activity      ☐ Company sale      ☐ Savings  
☐ Inheritance/gift      ☐ Investment gains      ☐ Shareholdings      ☐ Other

If "Other" is marked, detailed supplementary information must be provided:  
(further documents may be requested if necessary)

### 9. POLITICALLY EXPOSED PERSON

- ☐ I **do not** perform any activities as a politically exposed person or in a public capacity.  
☐ I perform activities as a politically exposed person or in a public capacity.  
☐ I have held such a role in the past.  
☐ I am close to someone who performs such a role (e.g. family member).

If you gave a positive response to one of the questions above, please state:

Type of activity/role:

Country where activity/role is/was performed:

If a close relationship exists, what is the relationship?:

### 10. MARKETING AND DISTRIBUTION OF THE SAFEPORT LOICK BIO-PRODUCTS & BIO-ENERGY FUND

The subscriber is aware that, as the marketing and distribution agent, Perfect Management Services AG can provide free information about the above-mentioned fund verbally or in writing on request. This information is limited to the presentation of the investment goals, opportunities, risks as well as fee issues and organisational aspects of the fund. The subscriber is free to avail themselves of non-binding general preliminary guidance from third parties with regard to the subscription. Perfect Management Services AG does not offer any investment advice. However, subscribers to fund units are entitled at any time, even after they have subscribed, to request updated information about the fund from Perfect Management Services AG.

Signature of the subscriber(s): \_\_\_\_\_

# SUBSCRIPTION FORM

## SafePort LOICK Bio-Products & Bio-Energy Fund



### 11. PAYMENT ORDER

#### Bank transfer details

Recipient:	SafePort LOICK Bio-Products & Bio-Energy Fund		
IBAN	When making the transfer, please use the IBAN of the relevant fund: - SafePort LOICK Bio-Products & Bio-Energy Fund Class EUR / LI89 0880 2001 0047 9210 2 - SafePort LOICK Bio-Products & Bio-Energy Fund Class CHF / LI62 0880 2001 0047 9210 3		
BIC	NBANLI22XXX	Financial institution (bank)	Neue Bank AG, Marktgass 20, FL-9490 Vaduz

#### Your bank details

Recipient		Place	
IBAN			
BIC		Financial institution (bank)	

**IMPORTANT: The subscriber and the depositor (account holder) must be identical. If the payment is made in several instalments, please indicate this accordingly (e.g. 1st instalment).**

The above bank details should be used for all payments to me/us. No payments will be made to third parties. Transfers or funds from third parties and cash deposits will not be accepted. Please enter a current account, not a savings account or credit card account. Full bank details must be provided. If funds cannot be invested, the amount received will be returned to the depositor minus a processing fee.

### 12. DECLARATIONS

- 1) The subscriber hereby declares that he/she has been informed that the current offering memorandum and the latest business report can be viewed at and downloaded from [www.caiac.vc](http://www.caiac.vc) and can be obtained free of charge from the marketing and distribution agent (Perfect Management Services AG, Landstrasse 340, 9495 Triesen, Liechtenstein), the administrator and transfer agent (CAIAC International Ltd., Trust House, 112 Bonadie Street, Kingstown, Saint Vincent and the Grenadines) and the custodian bank (NEUE BANK AG, Marktgass 20, 9490 Vaduz, Liechtenstein)
  - a) that he/she is aware of and agrees with the content of the applicable offering memorandum and all its annexes;
  - b) that he/she has taken note of the conditions for the issue and redemption of units described in the offering memorandum;
  - c) that he/she is aware of the restrictions on the sale of units set out in the offering memorandum, in particular with regard to the sale in the United States or to nationals or persons with a tax liability and/or residence in the United States, and confirms that no such conditions exist;
  - d) that he/she accepts the risks described in the offering memorandum. **In this regard, the subscriber acknowledges that the value of the units in the respective investment fund, as with any investment in securities and similar assets, may rise or fall relative to the issue price at any time and there is no guarantee that he/she will get back his/her invested capital;**
  - e) if a new securities account is to be opened beforehand, he/she agrees that he/she will not acquire the units until the securities account has been legally set up in accordance with the statutory provisions on due diligence;
  - f) that the securities account can be automatically closed after all the units have been sold and that no further closing instructions are required from him/her.
- 2) The subscriber consents to the processing, storage and exchange by the administrator and transfer agent, its agents and cooperation partners and by the custodian bank of his/her personal data and all documents provided by him/her to the marketing and distribution agent, the administrator and transfer agent, its agents and cooperation partners and the custodian bank, as well as other information and data obtained by them from him/her and held by them with regard to the customer relationship, in particular for the purposes of KYC "know your customer" and due diligence. The marketing and distribution agent, the administrator and transfer agent, their agents and cooperation partners and the custodian bank are explicitly exempted by the subscriber from any confidentiality obligations in this regard (including banking secrecy, data confidentiality and data protection regulations). The subscriber agrees that his/her data can be used for direct mailings and has the right to object to such mailings at any time free of charge. After the end of the business relationship, he/she has the right to request the deletion of personal data in accordance with the Data Protection Act or GDPR, unless other legal provisions such as retention obligations, etc. prevent this. The provisions and declarations relating to data protection are available on the website of the marketing and distribution agent at [www.safeport-funds.com](http://www.safeport-funds.com), as well as on the website of the administrator and transfer agent at [www.caiac.vc](http://www.caiac.vc) and on the website of the custodian bank at [www.neuebankag.li](http://www.neuebankag.li).
- 3) The subscriber hereby confirms that the information provided on this subscription form is complete and correct and he/she hereby agrees to disclose any changes to his/her personal data immediately. The subscriber hereby confirms his/her agreement to hold the marketing and distribution agent and any other company of the marketing and distribution agent and any of its bodies, officers, employees and representatives, as well as the administrator and transfer agent and any of its bodies, officers, employees and representatives and the custodian bank and any of its bodies, officers, employees and representatives harmless for all damage, loss or other costs that may arise as a result of incorrect, misleading or missing information.

Signature of the subscriber(s): \_\_\_\_\_

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- 4) The subscriber agrees that the marketing and distribution agent and the administrator and transfer agent will not be held liable for any action taken following receipt of instructions by fax or email or for any resulting loss.
- 5) The subscriber agrees that, if the account is a joint securities account rather than an individual securities account, joint representation/disposal is required (joint signatory account).
- 6) The subscriber shall notify the administrator and transfer agent immediately if he/she does not receive the annual statements within a reasonable period of time, and of any errors, deviations or irregularities contained therein.
- 7) In order to ensure the proper processing of business transactions, the subscriber undertakes to notify the administrator and transfer agent immediately in writing of any changes to his/her name or address as well as the termination of, or change to, any power of representation (in particular a power of attorney) verified with the agent. This notification obligation also applies if the power of representation is entered in a public register (e.g. in the commercial register). In the event of a name change, an official confirmation (copy of ID, marriage certificate, etc.) must also be submitted.
- 8) The administrator and transfer agent reserves the right to reject subscriptions without giving any reasons. The subscriber agrees to indemnify and hold the administrator and transfer agent harmless in the event of rejection.
- 9) The administrator and transfer agent can terminate a securities account with immediate effect at any time without prior notice and withdraw the fund units if it becomes aware that the subscriber is not entitled to hold the fund units under prospectus law or for legal reasons. Payment will be made on the next valuation day following notification by the subscriber of a bank account in the name of the subscriber.
- 10) The subscriber confirms that this application form and every transaction relating to units in the relevant fund are subject to and are to be interpreted according to the laws of Saint Vincent and the Grenadines. The respective fund is subject to Saint Vincent and the Grenadines law.
- 11) The subscriber agrees that the exclusive place of jurisdiction is Saint Vincent and the Grenadines.

### 13. IMPORTANT NOTES

As with any investment in securities and similar assets, investing in the SafePort LOICK Bio-Products & Bio-Energy Fund involves a risk (e.g. price and currency losses). As a result, for example, the prices of the fund units and the amount of income fluctuate and cannot be guaranteed. The costs of the fund investment affect the actual investment result. The acquisition of shares is governed by the statutory sales documents. The offering memorandum and the latest business report can be obtained free of charge from the administrator and transfer agent and the custodian bank and downloaded free of charge online at [www.caiac.vc](http://www.caiac.vc).

### 14. DOCUMENTS TO BE SUBMITTED

- Subscription form, including the place, date and original signature (must be provided in full by every subscriber)
- Certification of authenticity of a copy of documentary proof of identity (valid passport copy or copy of identity card)
- Proof of address (if the address is not given in the ID/passport, copy of the residence registration, or an electricity, heating or water bill or similar)
- Proof of origin of the funds
- If necessary, the granting of a power of attorney to third parties (supplementary form)

This list applies to subscriptions by natural persons. An overview of the documents to be submitted for subscriptions by legal entities will be provided on request.

### 15. SIGNATURE

Place:		Name:	
Date:		Signature:	